

NESTED BIPARTITE GRAPHS

BY
FRANZ HERING*

ABSTRACT

We investigate a class of bipartite graphs, whose structure is determined by a binary number.

Introduction

We call a graph *nested*, when among every 4 points, spanning two disjoint edges, there is at least one additional edge. We investigate the class of nested bipartite graphs and for example calculate the number of nonisomorphic ones with m points. Then we study the complete bipartite graph, in which the edges are coloured with two colours, such that every colour defines a nested partial graph. The investigation is motivated by the relation of such graphs to the combinatorial structure of a certain class of convex polytopes [2]. It raises a combinatorial problem which we cannot solve: In a binary number with m digits count the number of 'alternating' subsequences with n digits, $n \leq m$. What is the maximal number of such subsequences?

1. The structure of nested bipartite graphs

Throughout this paper, a graph $\gamma = (M, \mathfrak{P})$ is always an *undirected graph without loops and double edges*, having the (not necessarily finite) set M as its pointset and \mathfrak{P} as its set of edges. Therefore we assume

$$\mathfrak{P} \subset \{\{a, b\}: a, b \in M, a \neq b\}.$$

A bipartition $\{P, Q\}$ of M (i.e. $P \cup Q = M$, $P \cap Q = \emptyset$) is a *bipartition of γ* if

Received July 10, 1970

*The work for this research was supported by the Max Kade Foundation.

$$\{\{a, b\} \in \mathfrak{P}: a, b \in P\} = \{\{a, b\} \in \mathfrak{P}: a, b \in Q\} = \emptyset.$$

Then γ is a *bipartite graph*. Furthermore

DEFINITION 1.1. $\gamma = (M, \mathfrak{P})$ is *nested*, when for every $\{a, b\}, \{c, d\} \in \mathfrak{P}$ at least one of the sets $\{a, c\}, \{a, d\}, \{b, c\}, \{b, d\}$ is in \mathfrak{P} , too.

LEMMA 1.1. *In a nested graph the nonisolated points form a component. Every two points of this component are joined by a chain of at most three edges.*

PROOF. When a, b are nonisolated in $\gamma = (M, \mathfrak{P})$, we have $c, d \in M$ with $\{a, c\}, \{b, d\} \in \mathfrak{P}$. When $\{a, c\} \cap \{b, d\} \neq \emptyset$, then a, b are joint by a chain of length 2 or less. Otherwise the nested property gives us an additional edge among these points and so a chain of length 3 or less between a and b . \square

To $a \in M$ in $\gamma = (M, \mathfrak{P})$ we denote

$$(1.1) \quad \mathfrak{P}(a) := \{b \in M: \{a, b\} \in \mathfrak{P}\}.$$

LEMMA 1.2. *Suppose $\gamma = (M, \mathfrak{P})$ is bipartite and $\{P, Q\}$ is a bipartition. Then γ is nested if and only if*

$$(1.2) \quad \mathfrak{P}(a) \subset \mathfrak{P}(b) \text{ or } \mathfrak{P}(b) \subset \mathfrak{P}(a) \text{ for every } a, b \in P.$$

PROOF. When (1.2) is violated, we get $c \in \mathfrak{P}(a) \setminus \mathfrak{P}(b)$, $d \in \mathfrak{P}(b) \setminus \mathfrak{P}(a)$, i.e. $\{a, c\} \in \mathfrak{P}$, $\{b, c\} \notin \mathfrak{P}$, $\{b, d\} \in \mathfrak{P}$, $\{a, d\} \notin \mathfrak{P}$. Furthermore $\{a, b\}, \{c, d\} \notin \mathfrak{P}$, for $\{P, Q\}$ is a bipartition of γ . So γ is not nested.

Conversely, when γ is not nested, we find $\{a, c\}, \{b, d\} \in \mathfrak{P}$ with $\{a, b\}, \{a, d\}, \{b, c\}, \{c, d\} \notin \mathfrak{P}$. We may assume $a, b \in P$, so $c \in \mathfrak{P}(a) \setminus \mathfrak{P}(b)$, $d \in \mathfrak{P}(b) \setminus \mathfrak{P}(a)$, i.e. (1.2) is violated. \square

PROPOSITION 1.1. *Suppose M is a linear ordered set having the order relation $<$ and $\{P, Q\}$ is a bipartition of M , furthermore*

$$(1.3) \quad \mathfrak{P} := \{\{a, b\}: a \in P, b \in Q, a < b\}.$$

Then $\gamma := (M, \mathfrak{P})$ is a nested bipartite graph with the bipartition $\{P, Q\}$.

PROOF. $\{P, Q\}$ is a bipartition of γ according to (1.3). For the nested property take $a, c \in P$, $b, d \in Q$ with $\{a, b\}, \{c, d\} \in \mathfrak{P}$. So $a < b$, $c < d$. When $a \leq c$, then $a < d$, so $\{a, d\} \in \mathfrak{P}$; when $c < a$, then $c < b$, so $\{b, c\} \in \mathfrak{P}$. \square

We want to show, that *every* nested bipartite graph $\gamma = (M, \mathfrak{P})$ may be obtained in this way. So we have to construct a suitable linear order relation on M .

DEFINITION 1.2. Suppose $\gamma = (M, \mathfrak{P})$ is bipartite, $\{P, Q\}$ is a bipartition and $a, b \in M$. Then a, b are *equivalent* iff $a, b \in P$ or $a, b \in Q$ and $\mathfrak{P}(a) = \mathfrak{P}(b)$. We write $a \sim b$, \bar{a} is the equivalence class of a . The equivalence classes are called *blocks*, more specific \bar{a} is a *P-block* if $a \in P$ and a *Q-block* otherwise. Furthermore

$$\begin{aligned}\bar{P} &= \{\bar{a}: a \in P\}, \bar{Q} = \{\bar{b}: b \in Q\}, \bar{M} = \bar{P} \cup \bar{Q} \\ \bar{\mathfrak{P}} &= \{\{\bar{a}, \bar{b}\}: \{a, b\} \in \mathfrak{P}\}, \bar{\gamma} = (\bar{M}, \bar{\mathfrak{P}}).\end{aligned}$$

Obviously $\bar{\gamma}$ is again a bipartite graph having the bipartition $\{\bar{P}, \bar{Q}\}$ and $\bar{\gamma}$ is isomorphic to γ . The equivalence relation on M depends not only on γ , but also on the bipartition $\{P, Q\}$. However, this ambiguity is only relevant for the isolated points, for when $\mathfrak{P}(a) \neq \emptyset$, then $\mathfrak{P}(a) = \mathfrak{P}(b)$ implies $a, b \in P$ or $a, b \in Q$.

Now we are prepared to define a linear order on M having the properties of Proposition 1.1:

DEFINITION 1.3. Suppose $\gamma = (M, \mathfrak{P})$ is nested, bipartite and $P \subset M$ such that $\{P, Q\}$ is a bipartition of γ . We define a *P-relation* $<$ on M as follows:

i) On every block $\bar{a} \in \bar{M}$ the relation $<$ is a linear order.

Now suppose $a, b \in M$ are not equivalent. Then $a < b$ holds if and only if one of the following conditions is satisfied:

- ii) $a, b \in Q, \mathfrak{P}(a) \subset \mathfrak{P}(b)$
- iii) $a, b \in P, \mathfrak{P}(b) \subset \mathfrak{P}(a)$
- iv) $a \in Q, b \in P, \{a, b\} \notin \mathfrak{P}$
- v) $a \in P, b \in Q, \{a, b\} \in \mathfrak{P}$.

LEMMA 1.3. A *P-relation* $<$ develops canonically from M upon \bar{M} and defines a \bar{P} -relation $\bar{<}$.

PROOF. For $\bar{a}, \bar{b} \in \bar{P}$, $\bar{a} = \bar{b}$, define $\bar{a} \bar{<} \bar{b}$ iff there exists $a \in \bar{a}$, $b \in \bar{b}$ with $a < b$. Then $a' < b'$ for every $a' \in \bar{a}$, $b' \in \bar{b}$. Therefore $\bar{<}$ has the properties (i), ..., (v) of Definition 1.3. \square

A *P-relation* is not uniquely defined to a given P , for it is an arbitrary linear order within every equivalence class \bar{a} . However, this is obviously the only ambiguity:

LEMMA 1.4. When $<_i$ ($i = 1, 2$) are P -relations, then $\succeq_1 = \succeq_2$.

PROOF. Obvious from Definition 1.3. \square

LEMMA 1.5. When $<$ is a P -relation, then the inverse relation $>$ is a Q -relation.

PROOF. This is again an immediate consequence of Definition 1.3. \square

THEOREM 1.1. If $\gamma = (M, \mathfrak{P})$ is a nested bipartite graph, then every P -relation is a linear order on M and

$$(1.4) \quad \mathfrak{P} = \{\{a, b\} : a \in P, b \in Q, a < b\}.$$

PROOF. (1.4) is obvious from (iv), (v) of Definition 1.3. So we only have to show that $<$ is a linear order on M . We check first, that $<$ is antisymmetric. Suppose $a, b \in P$ or $a, b \in Q$. On \bar{a} our relation is a linear order by Definition 1.3, (i). We may therefore assume, that a, b are not equivalent. From (1.2) we obtain

$$\mathfrak{P}(a) \subsetneq \mathfrak{P}(b) \text{ or } \mathfrak{P}(b) \subsetneq \mathfrak{P}(a).$$

Therefore, when $a, b \in P$, the first relation gives us $b < a$, not $a < b$, the second leads to $a < b$, not $b < a$. When $a, b \in Q$, we get $a < b$ not $b < a$ from the first and $b < a$, not $a < b$ from the second relation. When $a \in Q, b \in P$, then $a < b$, not $b < a$ iff $\{a, b\} \notin \mathfrak{P}$ and $b < a$, not $a < b$ otherwise.

Now we show, that $<$ is transitive. Suppose $a < b, b < c$ we have to check $a < c$ in all the possible cases.

1) $a \sim b$. When $b \sim c$, too, then $a < c$ follows from definition 3, (i). Now assume, that b, c are not equivalent. When $b, c \in Q$, then $b < c$ gives us $\mathfrak{P}(b) \subsetneq \mathfrak{P}(c)$, so from $a, b \in Q$ and $\mathfrak{P}(a) = \mathfrak{P}(b)$ we have $\mathfrak{P}(a) \subsetneq \mathfrak{P}(b), a < c$. When $b, c \in P$, then $\mathfrak{P}(c) \subsetneq \mathfrak{P}(b)$ so again $a < c$.

2) $a, b \in Q$ not equivalent. So we have $\mathfrak{P}(a) \subsetneq \mathfrak{P}(b)$. Therefore when $c \in Q$, then $b < c$ gives us $\mathfrak{P}(b) \subsetneq \mathfrak{P}(c)$, so $\mathfrak{P}(a) \subsetneq \mathfrak{P}(b)$, therefore $a < c$. When $c \in P$ then $b < c$ gives us $\{b, c\} \notin \mathfrak{P}$ according to Definition 1.3, (iv). From $\mathfrak{P}(a) \subsetneq \mathfrak{P}(b)$ we have $\{a, c\} \notin \mathfrak{P}$, so $a < c$.

3) $a, b \in P$, not equivalent. So $\mathfrak{P}(b) \subsetneq \mathfrak{P}(a)$. Again $c \in P$ gives us $\mathfrak{P}(c) \subsetneq \mathfrak{P}(b)$, so $a < c$. When $c \in Q$, then $b < c$ is equivalent to $\{b, c\} \in \mathfrak{P}$, so $\mathfrak{P}(b) \subsetneq \mathfrak{P}(a)$ gives us $\{a, c\} \in \mathfrak{P}$ and therefore again $a < c$.

4) $a \in Q, b \in P$. Here $\{a, b\} \notin \mathfrak{P}$. When $c \in P$, then $\mathfrak{P}(c) \subsetneq \mathfrak{P}(b)$ and $a < c$

follows from $a \in Q$, $c \in P$, $\{a, c\} \notin \mathfrak{P}$. When $c \in Q$, then $\{b, c\} \in \mathfrak{P}$, so $b \notin \mathfrak{P}(a)$, $b \in \mathfrak{P}(c)$ implies $\mathfrak{P}(a) \subsetneq \mathfrak{P}(b)$ according to (1.2), therefore $a < c$.

5) $a \in P$, $b \in Q$. So $\{a, b\} \in \mathfrak{P}$. Again for $c \in Q$ the relation $b < c$ gives us $\mathfrak{P}(b) \subset \mathfrak{P}(c)$, so $\{a, c\} \in \mathfrak{P}$ and therefore $a < c$. When $c \in P$, then $\{b, c\} \notin \mathfrak{P}$, so $b \in \mathfrak{P}(a)$, $b \notin \mathfrak{P}(c)$, i.e. $\mathfrak{P}(c) \subset \mathfrak{P}(a)$ and $a < c$. \square

We use this theorem for obtaining a representation of every finite nested bipartite graph by a binary number:

DEFINITION 1.4. Suppose $d = (d_1, \dots, d_m)$ is a binary number, define $M := \{1, 2, \dots, m\}$

$$(1.5) \quad \begin{cases} P := \{i \in M : d_i = 0\} \\ Q := \{j \in M : d_j = 1\} \end{cases}$$

$$(1.6) \quad \mathfrak{P} := \{\{i, j\} : d_i = 0, d_j = 1, i < j\}.$$

Then $\gamma(d) := (M, \mathfrak{P})$ is the graph of d .

COROLLARY 1.1. The graph $\gamma(d)$ is nested, bipartite and to every finite nested bipartite graph γ there exists a binary number d such that γ and $\gamma(d)$ are isomorphic.

PROOF. This is an immediate consequence of Proposition 1.1 and Theorem 1.1. \square

A point $a \in M$ is a *universal point* of γ , if $a \in P$ and $\mathfrak{P}(a) = Q$ or $a \in Q$ and $\mathfrak{P}(a) = P$.

COROLLARY 1.2. Suppose $\gamma = (M, \mathfrak{P})$ is nested and bipartite having the bipartition $\{P, Q\}$ and $<$ is a P -relation.

i) γ has an isolated point in Q if and only if \bar{M} has a minimal Q -block. (Minimal with respect to the \bar{P} -relation $\bar{\succ}$ induced on \bar{M} by the P -relation $<$ according to Lemma 1.3).

ii) γ has an isolated point in P if and only if \bar{M} has a maximal P -block.

iii) γ has a universal point in Q if and only if \bar{M} has a maximal Q -block.

iv) γ has a universal point in P if and only if \bar{M} has a minimal P -block.

v) γ is connected if and only if \bar{M} has no minimal Q -block and no maximal P -block.

PROOF. i): $b \in Q$ is isolated iff there exists no $a \in P$ with $a < b$, i.e. iff \bar{b} is minimal. The cases ii), iii), iv) follows by an analogous argument.

(v) From (i), (ii) we obtain that the condition: \bar{M} has no minimal Q -block and no maximal P -block is equivalent to: M has no isolated points. Therefore (v) follows from Lemma 1.1. \square

When M is finite, the condition (i) of Corollary 1.2 may be replaced by: γ has an isolated point in Q if and only if M has a minimal element in Q with respect to the given P -relation $<$. The conditions (ii)–(v) may be changed analogously.

COROLLARY 1.3. *For a binary number $d = (d_1, \dots, d_m)$ the graph $\gamma(d)$ is connected if and only if $d_1 = 0$, $d_m = 1$.*

PROOF. Definition 1.4 and Corollary 1.2, (v). \square

COROLLARY 1.4. *The nested, bipartite graphs $\gamma_i = (M_i, \mathfrak{P}_i)$ are isomorphic if and only if there exist P_i -relations $<_i$ on M_i and an order-isomorphism ϕ from M_1 to M_2 with $\phi(P_1) = P_2$.*

PROOF. Suppose first, that γ_1, γ_2 are isomorphic graphs and that ψ is a graph-isomorphism from γ_1 onto γ_2 . From Theorem 1.1 we get a P_1 -relation $<_1$ on M_1 satisfying (1.4). Then $\{\psi(P_1), \psi(M_1 \setminus P_1)\}$ is a bipartition of γ_2 and the definition: $a_2 <_2 b_2$ if and only if $\psi^{-1}(a_2) <_1 \psi^{-1}(b_2)$ for every $a_2, b_2 \in M_2$ gives us a $\psi(P_1)$ -relation $<_2$ on M_2 which is isomorphic to $<_1$.

Conversely, when ϕ is an order isomorphism with $\phi(P_1) = P_2$, then (1.4) gives us $\phi(\mathfrak{P}_1) = \mathfrak{P}_2$. \square

DEFINITION 1.5. \mathbb{D}_m denotes the set of all binary numbers with m digits. We write $0' := 1$, $1' := 0$ and to $d = (d_1, \dots, d_m) \in \mathbb{D}_m$ define $d' := (d'_1, \dots, d'_m)$, $d^- := (d_m, \dots, d_1)$.

So $d', d^- \in \mathbb{D}_m$, too and $(d')^- = (d^-)'$.

COROLLARY 1.5. *Suppose $d, d^* \in \mathbb{D}_m$ and $\gamma(d)$ is connected, (so when $d = (d_1, \dots, d_m)$, then $d_1 = 0$, $d_m = 1$ by Corollary 1.3). Then $\gamma(d)$, $\gamma(d^*)$ are isomorphic if and only if $d^* = d$ or $d^* = (d^-)'$.*

PROOF. Assume first $d^* = d'^-$. Then (1.5) is a bipartition for both $\gamma(d)$ and $\gamma(d^*)$ and the mapping $\phi: \phi(i) = m - i$ ($i = 1, \dots, m$) has the properties of Corollary 1.4. Conversely, when $\gamma(d)$ is connected, then the bipartition $\{P, Q\}$ is uniquely defined either leading to d or d'^- . \square

2. The number of nested bipartite graphs with m points

THEOREM 2.1. *Suppose p, q are positive integers, $p + q = m$ and $\beta(p, q)$ is the cardinality of nonisomorphic nested, bipartite connected graphs having the bipartition $\{P, Q\}$ with $\#P = p$, $\#Q = q$.*

Then

$$(2.1) \quad \beta(p, q) = \begin{cases} \binom{m-2}{p-1} & \text{when } p \neq q, \\ \frac{1}{2} \binom{m-2}{\frac{m-2}{2}} + 2^{\frac{1}{2}(m-4)}, & \text{when } p = q = m/2. \end{cases}$$

PROOF. Corollary 1.5 gives us

$$\beta(p, q) = \# \{ \{d, d'^-\} : d = (d_1, \dots, d_m) \in \mathbb{D}_m, d_1 = 0, d_m = 1, \sum_{i=1}^m d_i = q \}.$$

When $p \neq q$, then

$$\beta(p, q) = \# \{ d \in \mathbb{D}_m : d_1 = 0, d_m = 1, \sum d_i = q \} = \binom{m-2}{p-1}.$$

When $p = q = \frac{m}{2}$, then $\binom{m-2}{\frac{m-2}{2}}$ counts the sets $\{d, d'^-\}$ with $d \neq d'^-$ twice.

Now for

$$d = (d_1, \dots, d_{m/2}, d_{m/2+1}, \dots, d_m)$$

we have $d = d'^-$ if and only if $d_{m/2-j+1} = d'_{m/2+j}$ for $j = 1, \dots, m/2$. Therefore

$$\begin{aligned} & \# \{ \{d, d'^-\} : d \in \mathbb{D}_m, d_1 = 0, d_m = 1, d = d'^- \} \\ &= \# \{ (d_1, \dots, d_{m/2}) \in \mathbb{D}_{m/2} : d_1 = 0 \} = 2^{(m-2)/2}. \end{aligned}$$

This gives us

$$\beta\left(\frac{m}{2}, \frac{m}{2}\right) = \frac{1}{2} \left[\binom{m-2}{\frac{m-2}{2}} - 2^{(m-2)/2} \right] + 2^{(m-2)/2} = \frac{1}{2} \binom{m-2}{\frac{m-2}{2}} + 2^{\frac{1}{2}(m-4)}. \quad \square$$

COROLLARY 2.1. The cardinality $\beta(m)$ of nonisomorphic nested bipartite connected graphs with m points is

$$(2.2) \quad \beta(m) = \begin{cases} 2^{m-3} & \text{when } m \equiv 1(2), \\ 2^{m-3} + 2^{m/2-2}, & \text{when } m \equiv 0(2). \end{cases}$$

PROOF. $\beta(m) = \sum \{ \beta(p, q) : p + q = m, 1 \leq p \leq [\frac{1}{2}m] \}$. Therefore, when $m \equiv 1(2)$, Theorem 2.1 gives us

$$\beta(m) = \sum_{p=1}^{\frac{1}{2}(m-1)} \binom{m-1}{p-1} = \frac{1}{2} \sum_{v=0}^{m-2} \binom{m-2}{v} = 2^{m-3}.$$

When $m \equiv 0(2)$ we obtain

$$\begin{aligned}\beta(m) &= \sum_{p=1}^{\frac{1}{2}(m-1)} \binom{m-1}{p-1} + \frac{1}{2} \binom{m-2}{\frac{m-2}{2}} + 2^{m/2-2} \\ &= \frac{1}{2} \sum_{v=0}^{m-2} \binom{m-2}{v} - \frac{1}{2} \binom{m-2}{\frac{m-2}{2}} - \frac{1}{2} \binom{m-2}{\frac{m-2}{2}} + 2^{m/2-2} \\ &= 2^{m-3} + 2^{(m-4)/2}. \quad \square\end{aligned}$$

3. Relations between nested bipartite graphs

DEFINITION 3.1. An edge $\{a, b\}$ of a nested bipartite graph $\gamma = (M, \mathfrak{P})$ is an *endedge*, if $(M, \mathfrak{P} \setminus \{\{a, b\}\})$ is nested and bipartite, too.

PROPOSITION 3.1. Suppose $\{a, b\}$ is an edge of the nested bipartite graph $\gamma = (M, \mathfrak{P})$, $\{P, Q\}$ is a bipartition of γ , $a \in P$, $b \in Q$ and $<$ is a P -relation. Then $\{a, b\}$ is an endedge if and only if the following condition holds:

(*) $\{c, d\} \in \mathfrak{P}$, $c \in P$, $d \in Q$ and $\bar{a} < \bar{c}$ implies $\bar{b} < \bar{d}$ or $\bar{b} = \bar{d}$.

PROOF. a) Suppose $\{a, b\}$ is not an endedge. Then we find $\{a_1, b_2\}$, $\{a_2, b_1\} \in \mathfrak{P} \setminus \{a, b\}$ with $a_1, a_2 \in P$, $b_1, b_2 \in Q$ and $\{a_1, b_1\}$, $\{a_2, b_2\} \notin \mathfrak{P} \setminus \{a, b\}$, for $(M, \mathfrak{P} \setminus \{\{a, b\}\})$ is not nested. γ is nested, therefore $\{a_1, b_1\} = \{a, b\}$ or $\{a_2, b_2\} = \{a, b\}$. We assume $\{a_2, b_2\} = \{a, b\}$, so $a_2 = a$, $b_2 = b$. We have $b_1 < a_1$ for $\{a_1, b_1\} \notin \mathfrak{P}$, $a_1 < b$, $a < b_1$ for $\{a_1, b\}$, $\{a, b_1\} \in \mathfrak{P}$ together $a < b_1 < a_1$ and $b_1 < a_1 < b$, i.e. $\{a_1, b_1\}$ violates the condition (*).

b) Conversely, let us assume that $\{a, b\} \in \mathfrak{P}$ with $a \in P$, $b \in Q$ violates (*). Then we find $\{c, d\} \in \mathfrak{P}$, $c \in P$, $d \in Q$ with $\bar{a} < \bar{b}$ and $\bar{d} < \bar{c}$. From $\bar{a} < \bar{c}$ we obtain $u \in \mathfrak{P}(a) \setminus \mathfrak{P}(c)$ according to Definition 3, (iii). From $\bar{d} < \bar{b}$ and $(c, d) \in \mathfrak{P}$ we get $\{c, b\} \in \mathfrak{P}$. So in $(M, \mathfrak{P} \setminus \{\{a, b\}\})$ we have $\{a, u\}$, $\{c, b\} \in \mathfrak{P} \setminus \{\{a, b\}\}$, with $\{c, u\}$, $\{a, b\} \notin \mathfrak{P} \setminus \{\{a, b\}\}$, proving that this graph is not nested. \square

COROLLARY 3.1. When $\gamma = (M, \mathfrak{P})$ is nested, bipartite, $\mathfrak{P} \neq \emptyset$ and $\bar{\gamma}$ is finite, then γ has an endedge.

PROOF. When $\{a, b\}$ is not endedge, we find $\{c, d\} \in \mathfrak{P}$ with $\bar{c} < \bar{a}$, $\bar{d} < \bar{b}$. Continuing this argument leads to an endedge, for $\bar{\gamma}$ is finite. \square

When M is the set of rational numbers in the open unit interval and P is a subset of M such that P and $M \setminus P$ are both dense in M , define

$\mathfrak{P} := \{\{a, b\} : a \in P, b \in M \setminus P, a < b\}$. Then $\gamma = (M, \mathfrak{P})$ is a nested bipartite graph without endedges.

COROLLARY 3.2. *When $\gamma = (M, \mathfrak{P})$ is a finite nested bipartite graph then there exists a sequence*

$$(3.1) \quad \emptyset = \mathfrak{P}_0 \subset \mathfrak{P}_1 \subset \cdots \subset \mathfrak{P}_s = \mathfrak{P}$$

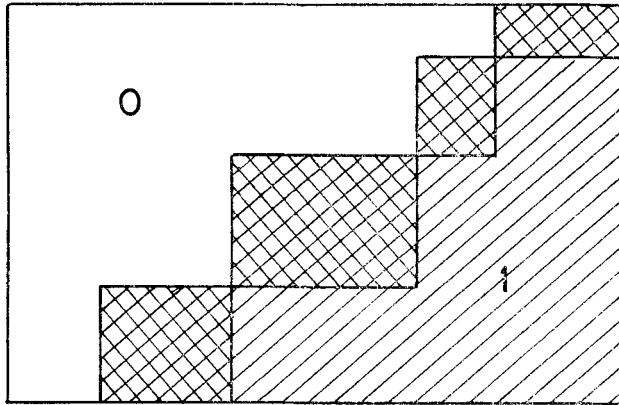
with $\#(\mathfrak{P}_i \setminus \mathfrak{P}_{i-1}) = 1$ for $i = 1, \dots, s$ such that every $\gamma_i = (M, \mathfrak{P}_i)$ is nested and bipartite.

PROOF. Obvious from Corollary 3.1. \square

COROLLARY 3.3. *When $d \in \mathbb{D}_m$, then $\{u, v\} \in \mathfrak{P}$, $v \in Q$, is an endedge of $\gamma(d)$ if and only if there exists a $w \in M = \{1, \dots, m\}$ with $d_u = d_{u+1} = \cdots = d_w = 0$, $d_{w+1} = \cdots = d_v = 1$. In this case define $d^* = (d_1, \dots, d_{w-1}, 1, 0, d_{w+2}, \dots, d_m)$. Then $\gamma(d^*)$ is isomorphic to $\gamma^* = (M, \mathfrak{P} \setminus \{\{u, v\}\})$.*

PROOF. Apply Definition 1.4 and Corollary 1.1. \square

When $\gamma = (M, \mathfrak{P})$ is a finite nested bipartite graph, then its endedges may be easily obtained from its adjacency matrix. They are cross-hatched in the following diagram:



(From Lemma 1.2 we obtain that to every nested bipartite graph there exists an adjacency matrix of the form of the diagram above).

DEFINITION 3.7. When $\gamma = (M, \mathfrak{P})$ has the bipartition $\{P, Q\}$ and

$$(3.2) \quad Q := \{\{a, b\} : a \in P, b \in Q, \{a, b\} \in \mathfrak{P}\}$$

then $\gamma^c = (M, \mathfrak{P})$ is the $\{P, Q\}$ -complement of γ .

LEMMA 3.1. *When γ is nested, then γ^c is nested, too.*

PROOF. Suppose $\{a, b\}, \{c, d\} \in \mathfrak{Q}$, $a, c \in P, b, d \in Q$. Then $\{a, d\} \in \mathfrak{P}$ and $\{c, b\} \in \mathfrak{P}$ is impossible, for γ is nested. So $\{a, d\} \in \mathfrak{Q}$ or $\{c, b\} \in \mathfrak{Q}$. \square

The definition of γ^c depends not only on γ but also on the bipartition $\{P, Q\}$. This bipartition and therefore γ^c is uniquely determined if and only if γ is connected. According to Corollary 1.2 in this case γ^c is not connected and therefore has more than one complement.

The following two corollaries are an immediate consequence of Lemma 3.1:

COROLLARY 3.4. *When γ is nested having the bipartition $\{P, Q\}$ and the $\{P, Q\}$ -complement γ^c , then every P -relation of γ is a Q -relation of γ^c . So*

$$(3.3) \quad \mathfrak{Q} = \{\{a, b\} : a \in P, b \in Q, b < a\}$$

COROLLARY 3.5. $\gamma(d)^c = \gamma(d')$ for every $d \in \mathbb{D}_m$.

Another consequence is the following extension of (3.1) in Corollary 3.2.

COROLLARY 3.6. *When $\gamma = (M, \mathfrak{P})$ is finite nested and bipartite with the bipartition $\{P, Q\}$ and*

$$(3.4) \quad \mathfrak{M} = \{\{a, b\} : a \in P, b \in Q\}$$

then there exists a sequence

$$(3.5) \quad \emptyset = \mathfrak{P}_0 \subset \mathfrak{P}_1 \subset \cdots \subset \mathfrak{P}_s = \mathfrak{P} \subset \mathfrak{P}_{s+1} \subset \cdots \subset \mathfrak{P}_t = \mathfrak{M}$$

such that every $\gamma_i = (M, \mathfrak{P}_i)$ is nested, bipartite with the same bipartition $\{P, Q\}$ and $\#(\mathfrak{P}_i \setminus \mathfrak{P}_{i-1}) = 1$ for $i = 1, \dots, t$.

PROOF. Apply Corollary 3.2 on γ and on γ^c . \square

4. Alternating chains in the nested bicoloured, complete bipartite graph

Let $\omega = (M, \mathfrak{M})$ denote the complete bipartite graph with the bipartition $\{P, Q\}$ so that \mathfrak{M} is given by (3.4) and with the bicolouring $\{\mathfrak{P}, \mathfrak{Q}\}$ of \mathfrak{M} . (A bicolouring is simply a bipartition of \mathfrak{M}). A colour \mathfrak{P} (or \mathfrak{Q}) of ω is *nested*, if (M, \mathfrak{P}) (or (M, \mathfrak{Q})) is a nested graph. From Lemma 3.1 we learn that either \mathfrak{P} and \mathfrak{Q} are both nested or both not nested. Furthermore, when $d \in \mathbb{D}_m$, then $\omega(d)$ is the nested coloured bipartite complete graph of d having the bipartition (1.5) and the nested bicolourings

$$(4.1) \quad \begin{cases} \mathfrak{P}(d) = \{\{i, j\} : d_i = 0, d_j = 1, i < j\} \\ \mathfrak{Q}(d) = \{\{i, j\} : d_i = 1, d_j = 0, i < j\} \end{cases}$$

A chain $v = (N, \mathfrak{N})$ in ω is *alternating*, iff every 2 adjacent edges of v have different colours.

LEMMA 4.1. *When ω has an alternating cyclic chain, then ω has an alternating cyclic chain of length 4.*

PROOF. When $v = (N, \mathfrak{N})$ is an alternating cyclic chain of minimal length $2t$, we have an arrangement of \mathfrak{N} to a sequence

$$(\{a_1, b_1\}, \{b_1, a_2\}, \{a_2, b_2\}, \dots, \{b_{t-1}, a_t\}, \{a_t, b_t\}, \{b_t, a_1\})$$

where $a_i \in P$, $b_i \in Q$ for $i = 1, \dots, t$ and $\{a_i, b_i\} \in \mathfrak{P}$. When $t > 2$, then $\{a_2, b_t\} \notin \mathfrak{N}$. When $\{a_2, b_t\} \in \mathfrak{Q}$, then $(\{a_2, b_2\}, \{b_2, a_3\}, \dots, \{b_{t-1}, a_t\}, \{a_t, b_t\}, \{b_t, a_2\})$ defines an alternating cyclic chain of length $2t - 2$. When $\{a_2, b_t\} \in \mathfrak{P}$, then $(\{a_1, b_1\}, \{b_1, a_2\}, \{a_2, b_t\}, \{b_t, a_1\})$ defines an alternating cyclic chain of length 4. Both results contradict the definition of t . \square

PROPOSITION 4.1. *The colouring $\{\mathfrak{P}, \mathfrak{Q}\}$ of ω is nested if and only if ω has no alternating cyclic chain.*

PROOF. The colouring is not nested if and only if we find $a_1, a_2 \in P$, $b_1, b_2 \in Q$ with $\{a_1, b_1\}, \{a_2, b_2\} \in \mathfrak{P}$, $\{a_1, b_2\}, \{a_2, b_1\} \in \mathfrak{Q}$, i.e. if there exists an alternating cyclic chain of length 4. Lemma 4.1 completes the proof. \square

PROPOSITION 4.2. *If $\omega = (M, \mathfrak{M})$ is bicoloured by $\{\mathfrak{P}, \mathfrak{Q}\}$, such that $\gamma = (M, \mathfrak{P})$ is nested with the bipartition $\{P, Q\}$, $<$ is a P -relation on M and $v = (N, \mathfrak{N})$ is a chain in ω , then v is alternating if and only if the monotone arrangement of N to a sequence (i_1, \dots, i_r) with $i_1 < \dots < i_r$ has the property*

$$(4.2) \quad \mathfrak{N} = \{\{i_v, i_{v+1}\} : v = 1, \dots, r-1\}.$$

PROOF. We arrange N to a sequence (j_1, \dots, j_r) with $\mathfrak{N} = \{\{j_v, j_{v+1}\} : v = 1, \dots, r-1\}$ and $j_1 < j_r$. This arrangement is uniquely determined and Definition 1.3 gives us immediately $j_1 < \dots < j_r$ if and only if v is alternating. \square

In general, a chain is uniquely determined by its edgeset, but not by its pointset. However from Proposition 4.2 we obtain directly

COROLLARY 4.1. *When ω is nested coloured and $v_i = (N_i, \mathfrak{N}_i)$, $i = 1, 2$ are alternating chains with $N_1 = N_2$, then $v_1 = v_2$.*

PROOF. From (4.2) we have $\mathfrak{N}_1 = \mathfrak{N}_2$. \square

DEFINITION 4.1. A subsequence

$$(4.3) \quad \delta = (d_{i_1}, \dots, d_{i_r})$$

of $d = (d_1, \dots, d_m) \in \mathbb{D}_m$ is *alternating* if and only if

$$d_{i_v} + d_{i_{v+1}} = 1 \text{ for } v = 1, \dots, r-1.$$

COROLLARY 4.2. δ is alternating if and only if $\{i_1, \dots, i_r\}$ is the pointset of an alternating chain of $\omega(d)$.

PROOF. The condition $d_{i_v} + d_{i_{v+1}} = 1$ is equivalent to: $i_v \in P$ iff $i_{v+1} \in Q$. □

5. Counting alternating chains

We now prepare a formula which counts the number of alternating chains in $\omega(d)$: When m is a positive integer, then

$$(5.1) \quad v = (n_1, \dots, n_t): n_i \text{ positive integers, } n_1 + \dots + n_t = m$$

is a *partition* of m .

DEFINITION 5.1. The *partition* $v(d)$ of d , $d \in \mathbb{D}_m$, is defined by

$$(5.2) \quad v(d) = (n_1(d), \dots, n_t(d)),$$

where the $n_p(d)$ are defined inductively as follows:

$$n_1(d): = \# \{i \in \{1, 2, \dots, m\}: d_i = d_{i-1} = \dots = d_1\}.$$

When $p > 1$, $n_1 + \dots + n_{p-1} < m$ then

$$n_p(d): = \# \{i \in \{1, 2, \dots, m\}: d_i = d_{i-1} = \dots = d_{n_{p-1}+1}\}.$$

The following proposition is plain:

PROPOSITION 5.1. For every $d \in \mathbb{D}_m$ the partition $v(d)$ of d is a partition of m . When $d, d^* \in \mathbb{D}_m$, then $v(d) = v(d^*)$ if and only if $d = d^*$ or $d = d'$. Conversely every partition v of m defines exactly two binary numbers $d, d^* \in \mathbb{D}_m$ with $v(d) = v(d^*)$ and here $d^* = d'$.

When $\{\mathfrak{P}, \mathfrak{Q}\}$ is a nested bicolouring of the bipartite complete graph $\omega = (M, \mathfrak{M})$ then the nested subgraphs $\gamma = (M, \mathfrak{N})$ and $\gamma^c = (M, \mathfrak{Q})$ of ω define the same partition of M in blocks. We call these blocks *the blocks of ω with respect to the nested bicolouring $\{\mathfrak{P}, \mathfrak{Q}\}$* . Now, when ω is finite and $m = \#M$, we have a binary number $d \in \mathbb{D}_m$ such that ω and $\omega(d)$ are isomorphic coloured. From Definition 5.1 we obtain

LEMMA 5.1. Suppose (N_1, \dots, N_t) are the blocks of $\omega(d)$ and $N_1 < \dots < N_t$. Then $\# N_i = n_i(d)$.

DEFINITION 5.2. Suppose $d \in \mathbb{D}_m$ and

$$(5.3) \quad \eta = (h_1, \dots, h_r), \quad 1 \leq h_1 < \dots < h_r \leq m.$$

When $\xi = (g_1, \dots, g_s)$, $1 \leq g_1 < \dots < g_s \leq m$, then η, ξ are d -equivalent, iff $s = r$ and h_i, g_i are in the same block of $\omega(d)$ for $i = 1, \dots, r$.

So, when $h_i \leq g_i$, then the d -equivalence requires $d_{h_i} = d_{h_i+1} = \dots = d_{g_i}$.

LEMMA 5.2. To $d \in \mathbb{D}_m$ let

$$(5.4) \quad (N_1, \dots, N_t), \quad N_1 < \dots < N_t$$

be the increasing sequence of blocks of $\omega(d)$. Furthermore for (5.3) assume $h_i \in N_{f(h_i)}$ for $i = 1, \dots, r$. Then the cardinality of sequences ξ , which are d -equivalent to η is $n_{f(h_1)} \cdot \dots \cdot n_{f(h_r)}$.

PROOF. To h_i we may choose g_i arbitrarily in $N_{f(h_i)}$. □

LEMMA 5.3. The set $\{h_1, \dots, h_r\}$ of elements of η in (5.3) is the pointset of an alternating chain of $\omega(d)$ if and only if $f(h_i) + f(h_{i+1}) \equiv 1(2)$ for $i = 1, \dots, r-1$.

PROOF. The condition is equivalent to $d_{h_i} + d_{h_{i+1}} = 1$ for $i = 1, \dots, r-1$. The lemma follows now from Definition 4.1. □

This leads us to the following notation

$$(5.5) \quad \mathfrak{U}_{r,t} := \{I = \{i_1, \dots, i_r\} \subset \{1, 2, \dots, t\} : i_1 < \dots < i_r, i_v + i_{v+1} \equiv 1(2) \text{ for } v = 1, \dots, r-1\}.$$

PROPOSITION 5.2. When $d \in \mathbb{D}_m$ has the sequence of blocks (5.4) and $n_i = \#N_i$ and when $a_r(d)$ is the cardinality of all alternating subsequences of d with r digits, then

$$(5.6) \quad a_r(d) = \sum_{I \in \mathfrak{U}_{r,t}} \prod_{i \in I} n_i$$

PROOF. Apply Lemma 5.2 and Lemma 5.3. □

(5.6) suggest to investigate the following polynomial:

DEFINITION 5.3.

$$A_r(x_1, \dots, x_t) = \sum_{I \in \mathfrak{U}_{r,t}} \prod_{i \in I} x_i$$

is the alternating polynomial.

Define

$$(5.8) \quad \begin{cases} b_{r,t} = \# \{I \in \mathfrak{U}_{r,t} : \min I \equiv 1(2)\} \\ c_{r,t} = \# \{I \in \mathfrak{U}_{r,t} : \min I \equiv 0(2)\}. \end{cases}$$

LEMMA 5.4. When $t > 0$, then

$$(5.9) \quad c_{r,t} = b_{r,t-1}.$$

PROOF. The mapping $i \rightarrow i-1$ for $i = 2, \dots, t$ maps $\{I \in \mathfrak{A}_{r,t} : \min I \equiv 0(2)\}$ one-to-one onto $\{I^* \in \mathfrak{A}_{r,t-1} : \min I^* \equiv 1(2)\}$. \square

LEMMA 5.5. When $t \geq r+2$ and $r > 1$, then

$$(5.10) \quad b_{r,t} = b_{r,t-2} + b_{r-1,t-1}.$$

PROOF. $\{I \in \mathfrak{A}_{r,t} : \min I \equiv 1(2)\} = \{I \in \mathfrak{A}_{r,t} : \min I \equiv 1(2), \min I \geq 3\} \cup \{I \in \mathfrak{A}_{r,t} : \min I = 1\}$.

The mapping $i \rightarrow i-2$ for $i = 3, \dots, t$ maps the set $\{I \in \mathfrak{A}_{r,t} : 3 \leq \min I \equiv 1(2)\}$ one-to-one onto $\{I^* \in \mathfrak{A}_{r,t-2} : \min I^* \equiv 1(2)\}$ and the mapping $I \rightarrow \{i-1 : i \in I, i \neq 1\}$ maps $\{I \in \mathfrak{A}_{r,t} : \min I = 1\}$ one-to-one onto $\{I \in \mathfrak{A}_{r-1,t-1} : \min I \equiv 1(2)\}$. \square

LEMMA 5.6. For $1 \leq r \leq t$ we have

$$(5.11) \quad b_{r,t} = \binom{t - [\frac{1}{2}(t-r+1)]}{r}.$$

PROOF. When $r = t$ or $r = t-1$, then clearly $\#\{I \in \mathfrak{A}_{r,t} : \min I \equiv 1(2)\} = 1$ and the right side of (5.11) gives us the same value. When $r = 1$, then $\#\{I \in \mathfrak{A}_{1,t} : \min I \equiv 1(2)\} = \#\left\{1, 3, \dots, 2 \cdot \left\lfloor \frac{t+1}{2} \right\rfloor - 1\right\} = \left(\frac{t+1}{2}\right)$ and again the right side of (5.11). When $2 \leq r \leq t-2$, we apply (5.10). Then we obtain by induction

$$\begin{aligned} b_{r,t} &= b_{r,t-2} + b_{r-1,t-1} = \binom{t-2 - [\frac{1}{2}(t-r-1)]}{r} + \binom{t-1 - [\frac{1}{2}(t-r+1)]}{r-1} \\ &= \binom{t - [\frac{1}{2}(t-r+1)]}{r}. \quad \square \end{aligned}$$

COROLLARY 5.1.

$$(5.12) \quad \#\mathfrak{A}_{r,t} = \binom{t - [\frac{1}{2}(t-r+1)]}{r} + \binom{t - [\frac{1}{2}(t-r+2)]}{r}.$$

PROOF. Lemma 5.4 and Lemma 5.6. \square

COROLLARY 5.2.

$$(5.13) \quad A_r\left(\frac{1}{t}, \dots, \frac{1}{t}\right) = \frac{1}{t^t} \left[\binom{t - [\frac{1}{2}(t-r+1)]}{r} + \binom{t - [\frac{1}{2}(t-r+2)]}{r} \right].$$

PROOF.
$$A_r\left(\frac{1}{t}, \dots, \frac{1}{t}\right) = \sum_{I \in \mathfrak{A}_{r,t}} \prod_{i \in I} \frac{1}{t} = \frac{1}{t_r} \# \mathfrak{A}_{r,t}. \quad \square$$

When $d^* = (1, 0, 1, \dots) \in \mathbb{D}_t$ is the binary number with t -digits, with the first digit 1 which is itself an alternating sequence, then (5.12) gives us the number of alternating subsequences of r -digits of d^* . Now define

$$(5.14) \quad \alpha_{r,m} = \max\{a_r(d) : d \in \mathbb{D}_m\}.$$

We cannot prove in general the following conjecture

$$(5.15) \quad \alpha_{r,t} = a_r(d^*) \quad \text{for } t = 1, 2, \dots, r = 1, 2, \dots, t.$$

When

$$(5.16) \quad S = \{(x_1, \dots, x_t) \in \mathbb{R}^t : x_i \geq 0, \sum x_i \geq 1\}$$

then the following conjecture implies (5.15)

$$(5.17) \quad \max\{A_r(x_1, \dots, x_t) : (x_1, \dots, x_t) \in S\} = A_r(1/t, \dots, 1/t).$$

When $r = t$, then (5.17) reduces to the arithmetic-geometric mean inequality. The number in (5.12) is the supposed upper bound in the so called "Upper Bound Conjecture" of convex polytopes [1]. (After this paper was sent for publication, this longstanding conjecture was proved [3]). In fact the connections between finite nested coloured bipartite complete graphs and the combinatorial structure of a certain class of convex polytopes were the starting point of this investigation.

REFERENCES

1. B. Grünbaum, *Convex Polytopes*, Interscience, p. 182 (1967).
2. F. Hering, *Ueber die kombinatorische Struktur von Polyedern*, (to appear).
3. P. McMullen, *The maximum number of faces of a convex polytope*, (preprint).

UNIVERSITY OF WASHINGTON,
SEATTLE, WASHINGTON